

JCSU  
5/25/01

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PTO/SB/05 (11-00)

Please type a plus sign (+) inside this box → Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [ Total Pages 31 ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 5 ]
5. Oath or Declaration [ Total Pages 4 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

|                        |  |
|------------------------|--|
| Attorney Docket No.    | ClaimsPlace                                |
| First Inventor         | Simpson et al.                             |
| Title                  | Online Method and System For Fulfilling... |
| Express Mail Label No. | EL857158569US                              |

10/25/01  
U.S. PATENT AND TRADEMARK OFFICE  
PTO
**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies.

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement (when there is an assignee)  Power of Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-149  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: **Express mail certificate; check** .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_ @/ \_\_\_\_\_

Prior application information.

Examiner @ \_\_\_\_\_

Group Art Unit: @ \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

|  |   |           |              |   |              |
|--|---|-----------|--------------|---|--------------|
| <input type="checkbox"/> Customer Number or Bar Code Label | (Insert Customer No. or Attach bar code label here) |           | or           | <input type="checkbox"/> Correspondence address below |              |
| Name   | Arthur L. Plevy, Esq.                               |           |              |   |              |
| Address  | Duane, Morris & Heckscher LLP                       |           |              |   |              |
|  | 100 College Road West, Suite 100                    |           |              |   |              |
| City   | Princeton   | State     | New Jersey   | Zip Code  | 08540        |
| Country  | US  | Telephone | 609-919-4402 | Fax   | 609-919-4401 |

|                   |   |                                   |                   |
|-------------------|---|-----------------------------------|-------------------|
| Name (Print/Type) | Paul A. Schwarz   | Registration No. (Attorney/Agent) | 37,577            |
| Signature         |  |                                   | Date May 25, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$1,222.00)

*Complete if Known*

|                      |             |
|----------------------|-------------|
| Application Number   | Herewith    |
| Filing Date          | Herewith    |
| First Named Inventor | Simpson     |
| Examiner Name        | TBA         |
| Group Art Unit       | TBA         |
| Attorney Docket No.  | ClaimsPlace |

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

|                        |         |
|------------------------|---------|
| Deposit Account Number | 04-1679 |
| Deposit Account Name   |         |

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check     Credit card     Money Order     Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid                  |        |
|----------------------------|----------------------------|-----------------|---------------------------|--------|
| 101                        | 710                        | 201             | 355 Utility filing fee    | 710.00 |
| 106                        | 320                        | 206             | 160 Design filing fee     |        |
| 107                        | 490                        | 207             | 245 Plant filing fee      |        |
| 108                        | 710                        | 208             | 355 Reissue filing fee    |        |
| 114                        | 150                        | 214             | 75 Provisional filing fee |        |
| <b>SUBTOTAL (1) (\$)</b>   |                            |                 | <b>710.00</b>             |        |

## 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 44                 | -20** = 24   | X 18.00        | = 432.00 |
| Independent Claims | 4 - 3** = 1  | X 80.00        | = 80.00  |
| Multiple Dependent |              |                |          |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description   |
|----------------------------|----------------------------|---|
| 103                        | 18                         | 203 9 Claims in excess of 20                                    |
| 102                        | 80                         | 202 40 Independent claims in excess of 3                        |
| 104                        | 270                        | 204 135 Multiple dependent claim, if not paid                   |
| 109                        | 80                         | 209 40 **Reissue independent claims over original patent        |
| 110                        | 18                         | 210 9 **Reissue claims in excess of 20 and over original patent |
| <b>SUBTOTAL (2) (\$)</b>   |                            |   |

\*\*or number previously paid, if greater; For Reissues, see above

| 3. ADDITIONAL FEES  | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description   | Fee Paid |
|---------------------|----------------------------|----------------------------|---|----------|
| 105                 | 130                        | 205                        | 65 Surcharge - late filing fee or oath  | @        |
| 127                 | 50                         | 227                        | 25 Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                 | 130                        | 139                        | 130 Non-English specification   |          |
| 147                 | 2,520                      | 147                        | 2,520 For filing a request for ex parte reexamination                         |          |
| 112                 | 920*                       | 112                        | 920* Requesting publication of SIR prior to Examiner action                   |          |
| 113                 | 1,840*                     | 113                        | 1,840* Requesting publication of SIR after Examiner action                    |          |
| 115                 | 110                        | 215                        | 55 Extension for reply within first month                                     |          |
| 116                 | 390                        | 216                        | 195 Extension for reply within second month                                   |          |
| 117                 | 890                        | 217                        | 445 Extension for reply within third month                                    |          |
| 118                 | 1,390                      | 218                        | 695 Extension for reply within fourth month                                   |          |
| 128                 | 1,890                      | 228                        | 945 Extension for reply within fifth month                                    |          |
| 119                 | 310                        | 219                        | 155 Notice of Appeal  |          |
| 120                 | 310                        | 220                        | 155 Filing a brief in support of an appeal                                    |          |
| 121                 | 270                        | 221                        | 135 Request for oral hearing  |          |
| 138                 | 1,510                      | 138                        | 1,510 Petition to institute a public use proceeding                           |          |
| 140                 | 110                        | 240                        | 55 Petition to revive - unavoidable   |          |
| 141                 | 1,240                      | 241                        | 620 Petition to revive - unintentional  |          |
| 142                 | 1,240                      | 242                        | 620 Utility issue fee (or reissue)  |          |
| 143                 | 440                        | 243                        | 220 Design issue fee  |          |
| 144                 | 600                        | 244                        | 300 Plant issue fee   |          |
| 122                 | 130                        | 122                        | 130 Petitions to the Commissioner   |          |
| 123                 | 50                         | 123                        | 50 Processing fee under 37 CFR 1.17(q)  |          |
| 126                 | 180                        | 126                        | 180 Submission of Information Disclosure Stmt                                 |          |
| 581                 | 40                         | 581                        | 40 Recording each patent assignment per property (times number of properties) |          |
| 146                 | 710                        | 246                        | 355 Filing a submission after final rejection (37 CFR § 1.129(a))             |          |
| 149                 | 710                        | 249                        | 355 For each additional invention to be examined (37 CFR § 1.129(b))          |          |
| 179                 | 710                        | 279                        | 355 Request for Continued Examination (RCE)                                   |          |
| 169                 | 900                        | 169                        | 900 Request for expedited examination of a design application                 |          |
| Other fee (specify) |                            |                            |   |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

| Name (Print/Type) | Paul A. Schwarz | Registration No. (Attorney/Agent) | 37,577 | Telephone | 609-919-4408 |
|-------------------|-----------------|-----------------------------------|--------|-----------|--------------|
| Signature         |                 |                                   |        | Date      | May 25, 2001 |

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**"EXPRESS MAIL CERTIFICATE"**

"Express Mail" mailing label number: EL857158569US

Date of Deposit: May 25, 2001

Title of Invention: ONLINE METHOD AND SYSTEM FOR FULFILLING NEEDS  
RESULTING FROM PROPERTY AND OTHER SIMILAR  
LOSSES

Inventor: SIMPSON, Douglas; ALEIA, Alan; PIERCE, Donald; CADDEN,  
Donald; and CARVALKO, Joseph

Type of Documents:

1. Application Transmittal;
2. Fee transmittal sheet;
3. Application consisting of 20 pages of specification, 10 pages of claims, 1 page of Abstract, and 5 pages of drawings;
4. Declaration and Power of Attorney;
5. Information Disclosure Statement;
6. PTO Form-1449;
7. IDS reference;
8. Our check in the amount of \$1,222.00;
9. Acknowledgment Postcard; and,
10. "Express Mail" Certificate.

I hereby certify that this paper and fee are being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, "Box Application," Washington, D.C. 20231.

Tammy T. Fattoross  
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